

P23523.P01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	P23523	Total Pages
Inventor(s) or Application Identifier	Kazuo NAKAMURA	
Title: FOOD PRODUCT TRACING SYSTEM, PROGRAM AND METHOD THEREOF		

16424 U.S. PTO
10/602633
06/25/03

ADDRESS TO:

Commissioner for Patents
Mail Stop Patent Application
PO Box 1450
Alexandria, VA 22313-1450

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>a. <input type="checkbox"/> Small Entity Statement(s)</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>36</u>] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>10</u>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u>] a. <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) <u>[Note Box 6 below]</u> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)</p>	<p>9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>12. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>14. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>16. <input checked="" type="checkbox"/> Figure of Drawing to be published <u>9</u></p> <p>17. <input checked="" type="checkbox"/> Foreign priority claimed a. <input checked="" type="checkbox"/> Claim of Priority b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>18. <input checked="" type="checkbox"/> Assignee: <u>PENTAX Corporation of Tokyo, Japan</u></p> <p>19. <input type="checkbox"/> Other: _____ _____ _____ _____</p>
<p>20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. <u> </u> / <u> </u>, filed <u> </u>. <i>Prior application information: Examiner: _____ Group Art Unit: _____</i></p> <p>21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This application is a <u> </u> continuation-in-part, <u> </u> continuation, <u> </u> divisional, of Application No. <u> </u> / <u> </u>, filed <u> </u>.</p>	

Address all future correspondence to Customer No. 7055 at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.
1950 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

6/25/03
Date

Leslie H. Bernstein Reg. No. 33,329
Signature

Bruce H. Bernstein, Reg. No. 29,027
Typed or Printed Name



07055

PATENT TRADEMARK OFFICE



FEE TRANSMITTAL

					Complete if Known																																																																																																																																																																																																																										
Application Number					Not Yet Assigned																																																																																																																																																																																																																										
Filing Date					Concurrently Herewith																																																																																																																																																																																																																										
First Named Inventor					Kazuo NAKAMURA																																																																																																																																																																																																																										
Group Art Unit					Unassigned																																																																																																																																																																																																																										
Examiner Name					Unassigned																																																																																																																																																																																																																										
AMOUNT OF PAYMENT		(\$) <u>790.00</u>			Attorney Docket Number		P23523																																																																																																																																																																																																																								
METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)																																																																																																																																																																																																																										
1. <input checked="" type="checkbox"/> The U.S. Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <u>19-0089</u> Deposit Account Name <u>GREENBLUM & BERNSTEIN, P.L.C.</u>					3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Prior to Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Requesting publication of SIR after</td><td></td></tr> <tr><td>1252</td><td>410</td><td>2252</td><td>205</td><td>Examiner action</td><td></td></tr> <tr><td>1253</td><td>930</td><td>2253</td><td>465</td><td>Extension for response within 1st month</td><td></td></tr> <tr><td>1254</td><td>1,450</td><td>2254</td><td>725</td><td>Extension for response within 2nd month</td><td></td></tr> <tr><td>1255</td><td>1,970</td><td>2255</td><td>985</td><td>Extension for response within 3rd month</td><td></td></tr> <tr><td>1401</td><td>320</td><td>2401</td><td>160</td><td>Extension for response within 4th month</td><td></td></tr> <tr><td>1402</td><td>320</td><td>2402</td><td>160</td><td>Extension for response within 5th month</td><td></td></tr> <tr><td>1403</td><td>280</td><td>2403</td><td>140</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1453</td><td>1,300</td><td>2453</td><td>650</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1501</td><td>1,300</td><td>2501</td><td>650</td><td>Petition to revive unavoidably abandoned application</td><td></td></tr> <tr><td>1502</td><td>470</td><td>2502</td><td>235</td><td>Petition to revive unintentionally abandoned application</td><td></td></tr> <tr><td>1503</td><td>630</td><td>2503</td><td>315</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Design issue fee</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Plant issue fee</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>1809</td><td>750</td><td>2809</td><td>375</td><td>Submission of IDS</td><td></td></tr> <tr><td>1810</td><td>750</td><td>2810</td><td>375</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1801</td><td>750</td><td>2801</td><td>375</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td colspan="5">Other fee (specify) _____</td> <td colspan="5">Request for Continued Examination (RCE)</td></tr> <tr><td colspan="5">Other fee (specify) _____</td> <td colspan="5">Request for expedited examination of a design application</td></tr> <tr> <td colspan="5"></td> <td colspan="5">SUBTOTAL (3) (\$)<u>40.00</u></td> </tr> <tr> <td colspan="5"> *Reduced by Basic Filing Fee paid </td> <td colspan="5"></td> </tr> </tbody></table>					Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Entity Fee Code (\$)	Fee Description	Fee Paid	1051	130	2051	65	Surcharge - late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for reexamination		1804	920*	1804	920*	Requesting publication of SIR		1805	1,840*	1805	1,840*	Prior to Examiner action		1251	110	2251	55	Requesting publication of SIR after		1252	410	2252	205	Examiner action		1253	930	2253	465	Extension for response within 1st month		1254	1,450	2254	725	Extension for response within 2nd month		1255	1,970	2255	985	Extension for response within 3rd month		1401	320	2401	160	Extension for response within 4th month		1402	320	2402	160	Extension for response within 5th month		1403	280	2403	140	Notice of Appeal		1451	1,510	1451	1,510	Filing a brief in support of an appeal		1452	110	2452	55	Request for oral hearing		1453	1,300	2453	650	Petition to institute a public use proceeding		1501	1,300	2501	650	Petition to revive unavoidably abandoned application		1502	470	2502	235	Petition to revive unintentionally abandoned application		1503	630	2503	315	Utility issue fee (or reissue)		1460	130	1460	130	Design issue fee		1807	50	1807	50	Plant issue fee		1806	180	1806	180	Petitions to the Commissioner		8021	40	8021	40	Petitions related to provisional applications		1809	750	2809	375	Submission of IDS		1810	750	2810	375	Recording each patent assignment per property (times number of properties)		1801	750	2801	375	Filing a submission after final rejection (37 CFR 1.129(a))		1802	900	1802	900	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify) _____					Request for Continued Examination (RCE)					Other fee (specify) _____					Request for expedited examination of a design application										SUBTOTAL (3) (\$)<u>40.00</u>					*Reduced by Basic Filing Fee paid									
Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																																																																																																																										
1051	130	2051	65	Surcharge - late filing fee or oath																																																																																																																																																																																																																											
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.																																																																																																																																																																																																																											
1053	130	1053	130	Non-English specification																																																																																																																																																																																																																											
1812	2,520	1812	2,520	For filing a request for reexamination																																																																																																																																																																																																																											
1804	920*	1804	920*	Requesting publication of SIR																																																																																																																																																																																																																											
1805	1,840*	1805	1,840*	Prior to Examiner action																																																																																																																																																																																																																											
1251	110	2251	55	Requesting publication of SIR after																																																																																																																																																																																																																											
1252	410	2252	205	Examiner action																																																																																																																																																																																																																											
1253	930	2253	465	Extension for response within 1st month																																																																																																																																																																																																																											
1254	1,450	2254	725	Extension for response within 2nd month																																																																																																																																																																																																																											
1255	1,970	2255	985	Extension for response within 3rd month																																																																																																																																																																																																																											
1401	320	2401	160	Extension for response within 4th month																																																																																																																																																																																																																											
1402	320	2402	160	Extension for response within 5th month																																																																																																																																																																																																																											
1403	280	2403	140	Notice of Appeal																																																																																																																																																																																																																											
1451	1,510	1451	1,510	Filing a brief in support of an appeal																																																																																																																																																																																																																											
1452	110	2452	55	Request for oral hearing																																																																																																																																																																																																																											
1453	1,300	2453	650	Petition to institute a public use proceeding																																																																																																																																																																																																																											
1501	1,300	2501	650	Petition to revive unavoidably abandoned application																																																																																																																																																																																																																											
1502	470	2502	235	Petition to revive unintentionally abandoned application																																																																																																																																																																																																																											
1503	630	2503	315	Utility issue fee (or reissue)																																																																																																																																																																																																																											
1460	130	1460	130	Design issue fee																																																																																																																																																																																																																											
1807	50	1807	50	Plant issue fee																																																																																																																																																																																																																											
1806	180	1806	180	Petitions to the Commissioner																																																																																																																																																																																																																											
8021	40	8021	40	Petitions related to provisional applications																																																																																																																																																																																																																											
1809	750	2809	375	Submission of IDS																																																																																																																																																																																																																											
1810	750	2810	375	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																											
1801	750	2801	375	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																																																											
1802	900	1802	900	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																																																											
Other fee (specify) _____					Request for Continued Examination (RCE)																																																																																																																																																																																																																										
Other fee (specify) _____					Request for expedited examination of a design application																																																																																																																																																																																																																										
					SUBTOTAL (3) (\$)<u>40.00</u>																																																																																																																																																																																																																										
*Reduced by Basic Filing Fee paid																																																																																																																																																																																																																															
2. CLAIMS																																																																																																																																																																																																																															
Total Claims <u>12</u> -20= <u>0</u> x <u>18</u> = <u>0</u> Independent <u>3</u> -3= <u>0</u> x <u>84</u> = <u>0</u> Claims Multiple Dependent Claims <u>0</u> x <u>280</u> = <u>0</u>																																																																																																																																																																																																																															
Large Entity Fee Code (\$) 1202 18 2202 9 1201 84 2201 42 1203 280 2203 140 1204 84 2204 42 1205 18 2205 9					Small Entity Fee Code (\$) Fee Description Claims in excess of 20 Independent claims in excess of 3 Multiple dependent claim Reissue independent claims over original patent Reissue claims in excess of 20 and over original patent																																																																																																																																																																																																																										
					SUBTOTAL (2) (\$)<u>0.00</u>																																																																																																																																																																																																																										

SUBMITTED BY

Typed or Printed Name		Bruce H. Bernstein			Complete (if applicable)	
Signature		 <u>33,329</u>			Reg. Number	29,027
		Date	6/25/03			Deposit Account User ID